

1617 Image

PTO/SB/21 (03-03)

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	10//057,323	
	Filing Date	01/25/2002	
	First Named Inventor	Harry R. Davis, et al.	
	Art Unit	1617	
	Examiner Nam	Mojdeh Bahar	
Total Number of Pages in This Submission	8	Attorney Docket Number	CV01489K

ENCLOSURES (Check all that apply)		
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Firm or Individual	Ann Marie Cannoni, Reg. No. 35,972	
Signature		
Date	11/25/2003	

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): **Harry R. Davis et al.**

Group Art Unit: **1617**

Serial No.: **10/057,323**

Examiner: **Mojdeh Bahar**

Filed: **01/25/2002**

Attorney Docket No.: **CV01489K**

For: **Combinations of Peroxisome
Proliferator-Activated Receptor
(PPAR) Activator(s) and Sterol
Absorption Inhibitor(s)
And Treatments for Vascular
Indications**

X

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Pursuant to Rule 56, it is requested that the documents listed on the accompanying PTO-1449 Form be considered and made of record in the above-identified patent application. Copy(ies) of these references ☒ are attached ☐ were filed in related application U.S. Serial No(s) _____ filed _____, respectively.

(b) No fee is believed due because:

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- ☐ This Information Disclosure Statement is being concurrently filed with the above-identified application.
- ☐ This Information Disclosure Statement is being concurrently filed with a Request for Continued Examination (RCE).
- ☒ This Information Disclosure Statement is being filed prior to the mailing of a first Office Action on the merits.

(c) ☐ This Information Disclosure Statement is being filed before the mailing date of any final action, notice of allowance or an action that otherwise closes prosecution; and

- ☐ Each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement; or
- ☐ No item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned after making reasonable inquiry, no item of information contained in this Information Disclosure Statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of this Information Disclosure Statement; or

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(d) ☐ This Information Disclosure Statement is being filed on or before the payment of the issue fee; and

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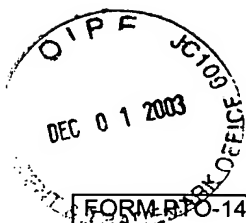
☒ The Commissioner is hereby authorized to charge any additional fees which may be required for this Information Disclosure Statement, or credit any overpayment to Deposit Account No. 19-0395, Patent Case No. CV01489K. A DUPLICATE COPY OF THIS SHEET IS ATTACHED.

Respectfully submitted,
SCHERING-PLOUGH CORPORATION

Dated: 11/25/2003
SCHERING-PLOUGH CORPORATION
Patent Department, K-6-1, 1990
2000 Galloping Hill Road
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By:


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Reg. No.: **35,972**
Attorney of Record
Telephone No.: (908) 298-5024

Sheet 1 of 1

FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

ATTY. DOCKET NO.:

CV01489K

APPLICATION NO.:

10/057,323

INFORMATION DISCLOSURE STATEMENT
BY APPLICANT

APPLICANT:

Harry R. Davis et al.

FILING DATE:

01/25/2002

GROUP:

1617

(Use several sheets if necessary)

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE IF APPROPRIATE
	AA	6,030,990	02/29/00	Maeda et al.			
	AB	6,166,049	12/26/00	Smith et al.			
	AC	2003/0153541	08/14/03	Dudley et al.			

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION	
							YES	NO
	AE	WO 01/14351	03/01/01	PCT				
	AE	WO 01/34148	05/17/01	PCT				
	AF	WO 01/60807	08/23/01	PCT				
	AG	WO 02/064094	08/22/02	PCT				
	AH	WO 02/08188	01/31/02	PCT				
	AI	WO 02/26729	04/04/02	PCT				
	AJ	WO 03/039542	05/15/03	PCT				
	AK	WO 03/074101	09/12/03	PCT				
	AL	WO 03/088962	10/30/03	PCT				

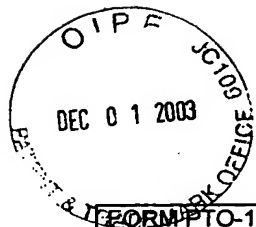
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

	AM	Sorbera et al., Netoglitazone, <i>Drugs of the Future</i> , 2002, 27(2): 132-139
	AN	Michel Farnier, Nouvelles approches médicamenteuses dans le traitement des dyslipidémies, <i>MT Endocrinologie</i> , 2002, 4:252-259
	AO	Berger et al., Physiological and Therapeutic Roles of Peroxisome Proliferator-Activated Receptors, <i>Diabetes Technology & Therapeutics</i> , 2002, 4:163-174

EXAMINER

DATE CONSIDERED

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



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Sheet 1 of 1

PTO-1449

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE**INFORMATION DISCLOSURE STATEMENT
BY APPLICANT***(Use several sheets if necessary)*

ATTY. DOCKET NO.:

CV01489K

APPLICATION NO.:

10/057,323

APPLICANT:

Harry R. Davis et al.

FILING DATE:

01/25/2002

GROUP:

1617**U.S. PATENT DOCUMENTS**

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE IF APPROPRIATE
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							YES	NO
	AE	WO 01/14351	03/01/01	PCT				
	AE	WO 01/34148	05/17/01	PCT				
	AF	WO 01/60807	08/23/01	PCT				
	AG	WO 02/064094	08/22/02	PCT				
	AH	WO 02/08188	01/31/02	PCT				
	AI	WO 02/26729	04/04/02	PCT				
	AJ	WO 03/039542	05/15/03	PCT				
	AK	WO 03/074101	09/12/03	PCT				
	AL	WO 03/088962	10/30/03	PCT				

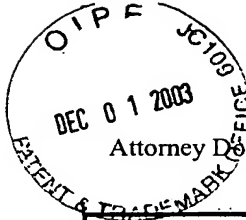
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	AO	Berger et al., Physiological and Therapeutic Roles of Peroxisome Proliferator-Activated Receptors, <i>Diabetes Technology & Therapeutics</i> , 2002, 4:163-174

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Attorney Docket No.: CV01489K

PTO/SB/92 (08-03)
(Modified)

Application No.: 10/057,323

Filing Date: 01/25/2002

Applicant: Harry R. Davis et al.

Title: Combinations of Peroxisome Proliferator-Activated Receptor (PPAR) Activator(s) and Sterol Absorption Inhibitor(s) and Treatments of Vascular Indications

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